

## Enhancement of Quantum Dot Solar Cell Efficiency via Hybrid Plasmonic-Excitonic Coupling and Optimized Nanostructure Geometries

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### ABSTRACT

This paper investigates the enhancement of quantum dot solar cell (QDSC) efficiency through the synergistic combination of plasmonic and excitonic coupling, along with optimized nanostructure geometries. We present a comprehensive numerical study using COMSOL Multiphysics to model and analyze the optical and electrical properties of QDSCs incorporating gold nanoparticles (AuNPs) and various QD materials. The simulations demonstrate that strategically placed AuNPs induce localized surface plasmon resonance (LSPR), significantly enhancing light absorption within the QD active layer. Furthermore, we explore the impact of exciton coupling between QDs and the plasmonic field, leading to improved charge separation and collection. The study also examines the influence of different nanostructure geometries, including AuNP size, shape, and spacing, on the overall QDSC performance. Our results indicate that a carefully designed hybrid plasmonic-excitonic QDSC can achieve a substantial increase in power conversion efficiency compared to conventional QDSCs. The findings offer valuable insights for the development of next-generation, high-performance solar cells based on quantum dot technology.

## 1. Introduction

The escalating global energy demand and the urgent need to mitigate climate change have driven extensive research into renewable energy sources. Solar energy, being abundant and sustainable, holds immense potential for meeting future energy needs. Quantum dot solar cells (QDSCs) have emerged as promising candidates for next-generation photovoltaic devices due to their unique properties, including tunable bandgap, high absorption coefficient, and the ability to generate multiple excitons per absorbed photon (multiple exciton generation, MEG). However, QDSCs still face challenges in achieving high power conversion efficiencies (PCEs) comparable to traditional silicon-based solar cells.

One of the primary limitations of QDSCs is the relatively low light absorption efficiency, particularly in the red and near-infrared regions of the solar spectrum. To address this issue, various light-trapping and light-harvesting strategies have been explored, including the

incorporation of plasmonic nanoparticles. Plasmonic nanoparticles, such as gold (Au) and silver (Ag), exhibit localized surface plasmon resonance (LSPR) when excited by incident light. LSPR leads to the generation of intense electromagnetic fields near the nanoparticle surface, which can significantly enhance light absorption in the surrounding medium, including the QD active layer.

Another promising approach is to exploit the phenomenon of excitonic coupling. Excitonic coupling refers to the interaction between excitons (electron-hole pairs) in adjacent QDs. This interaction can facilitate energy transfer and charge separation, leading to improved carrier collection efficiency in QDSCs. The efficiency of excitonic coupling is highly dependent on the distance and orientation between the QDs.

This research aims to enhance the efficiency of QDSCs by synergistically combining plasmonic and excitonic coupling, along with optimized nanostructure geometries. We hypothesize that strategically incorporating AuNPs into the QD active layer will enhance light absorption through LSPR, while optimizing the QD arrangement will promote efficient excitonic coupling. Furthermore, we will investigate the impact of different nanostructure geometries, including AuNP size, shape, and spacing, on the overall QDSC performance. The objectives of this study are:

To model and analyze the optical and electrical properties of QDSCs incorporating AuNPs using COMSOL Multiphysics.

To investigate the impact of LSPR on light absorption within the QD active layer.

To explore the effects of exciton coupling between QDs and the plasmonic field on charge separation and collection.

To optimize the nanostructure geometry, including AuNP size, shape, and spacing, to maximize QDSC efficiency.

To provide insights for the development of next-generation, high-performance solar cells based on quantum dot technology.

## 2. Literature Review

Numerous studies have explored the use of plasmonics and excitonic coupling to enhance the performance of QDSCs. The following review analyzes relevant previous works and highlights their contributions and limitations.

1. Catchpole and Polman (2008) [1] provided a comprehensive review of plasmonics for photovoltaics. They discussed the fundamental principles of LSPR and its application in enhancing light absorption in thin-film solar cells. They demonstrated that plasmonic nanoparticles could significantly increase the short-circuit current density of solar cells. However, the review focused primarily on traditional thin-film solar cells and did not specifically address QDSCs.
2. McDonald et al. (2011) [2] investigated the use of gold nanoparticles to enhance the efficiency of PbS QDSCs. They found that incorporating AuNPs into the QD active layer increased the light

absorption and the short-circuit current density. However, they also observed a decrease in the open-circuit voltage, which they attributed to increased recombination losses. A limitation of this study was the lack of detailed analysis of the plasmonic field distribution and its interaction with the QDs.

3. Choi et al. (2012) [3] studied the effect of AuNP size and spacing on the performance of QDSCs. They found that smaller AuNPs with closer spacing resulted in higher light absorption and improved efficiency. However, they also noted that excessive AuNP concentration could lead to quenching of QD luminescence and reduced carrier lifetime. This study provided valuable insights into the optimization of AuNP parameters, but it did not consider the effect of exciton coupling.

4. Zhu et al. (2013) [4] developed a theoretical model to investigate the impact of plasmonic nanoparticles on the performance of QDSCs. Their model predicted that the optimal AuNP size and spacing depend on the QD material and the incident light spectrum. They also showed that the plasmonic enhancement is more pronounced for QDs with lower absorption coefficients. However, the model was based on simplified assumptions and did not account for all the complex interactions occurring in the QDSC.

5. Du et al. (2014) [5] explored the use of core-shell plasmonic nanoparticles to enhance the efficiency of QDSCs. They found that coating AuNPs with a thin layer of silica (SiO<sub>2</sub>) could improve the stability and biocompatibility of the nanoparticles while maintaining their plasmonic properties. They demonstrated that the core-shell nanoparticles could enhance light absorption and increase the short-circuit current density of the QDSC. However, the study did not investigate the effect of the shell thickness on the plasmonic enhancement.

6. Lian et al. (2015) [6] investigated the role of excitonic coupling in QDSCs. They found that close-packed QD arrays exhibited enhanced charge separation and collection efficiency due to excitonic coupling. They also showed that the efficiency of excitonic coupling depends on the QD size and spacing. A limitation of this study was the lack of consideration of plasmonic effects.

7. Kim et al. (2016) [7] combined plasmonic nanoparticles and excitonic coupling to enhance the efficiency of QDSCs. They found that the synergistic combination of these two effects resulted in a significant increase in the PCE. They attributed this enhancement to the increased light absorption due to LSPR and the improved charge separation due to excitonic coupling. However, the study did not provide a detailed analysis of the interplay between plasmonic and excitonic effects.

8. Tan et al. (2017) [8] developed a novel QDSC architecture based on a plasmonic grating structure. They found that the grating structure could effectively trap light and enhance the light absorption in the QD active layer. They demonstrated that the plasmonic grating structure could significantly improve the PCE of the QDSC. A limitation of this study was the complexity of the fabrication process.

9. Zhang et al. (2018) [9] investigated the use of two-dimensional materials, such as graphene and MoS<sub>2</sub>, to enhance the performance of QDSCs. They found that these materials could improve

the charge transport and collection efficiency of the QDSC. They also showed that the two-dimensional materials could act as a protective layer for the QDs, preventing oxidation and degradation. However, the study did not consider the effect of plasmonic effects.

10. Singh et al. (2019) [10] explored the use of perovskite quantum dots in solar cells. They found that perovskite QDs exhibited high absorption coefficients and excellent charge transport properties. They demonstrated that perovskite QDSCs could achieve high PCEs. However, the stability of perovskite QDs remains a concern.

These previous studies have demonstrated the potential of plasmonics and excitonic coupling to enhance the efficiency of QDSCs. However, there is still a need for further research to optimize the design and fabrication of hybrid plasmonic-excitonic QDSCs. This study aims to address this gap by providing a comprehensive numerical analysis of the optical and electrical properties of QDSCs incorporating AuNPs and various QD materials, with a focus on optimizing the nanostructure geometry and understanding the interplay between plasmonic and excitonic effects. Furthermore, this research will explore novel QD materials and device architectures to further improve the performance of QDSCs.

### **3. Methodology**

This study employs a multi-physics simulation approach using COMSOL Multiphysics 5.6 to model and analyze the optical and electrical properties of QDSCs incorporating gold nanoparticles (AuNPs). The simulation process is divided into two main stages: (1) optical simulation and (2) electrical simulation.

#### **3.1 Optical Simulation:**

The optical simulation is performed using the Wave Optics Module in COMSOL, which solves Maxwell's equations using the finite element method (FEM). The simulation domain consists of a two-dimensional (2D) cross-section of the QDSC, including the substrate, the QD active layer, the AuNPs, and the top contact. Periodic boundary conditions are applied to the lateral boundaries of the simulation domain to mimic an infinite array of QDSCs. A perfectly matched layer (PML) is used at the top boundary to absorb outgoing radiation and prevent reflections.

The material properties of the different layers are defined using the built-in material library in COMSOL or by importing experimental data from the literature. The refractive index and extinction coefficient of gold are obtained from the Johnson and Christy model [11]. The optical properties of the QD material are modeled using a Tauc-Lorentz dispersion model [12], which is parameterized based on experimental data for the specific QD material under consideration (e.g., PbS, CdSe).

The simulation is performed by illuminating the QDSC with a plane wave representing sunlight. The wavelength of the incident light is varied from 300 nm to 1000 nm to cover the visible and near-infrared regions of the solar spectrum. The simulation calculates the electric field distribution within the QDSC, from which the absorption rate in the QD active layer is determined. The absorption rate is calculated as:

$$\text{Absorption Rate} = 0.5 \omega \epsilon_0 \epsilon'' |E|^2$$

where:

$\omega$  is the angular frequency of the incident light.

$\epsilon_0$  is the permittivity of free space.

$\epsilon''$  is the imaginary part of the dielectric function of the QD material.

$|E|$  is the magnitude of the electric field.

The total absorption in the QD active layer is obtained by integrating the absorption rate over the volume of the QD layer.

### 3.2 Electrical Simulation:

The electrical simulation is performed using the Semiconductor Module in COMSOL, which solves the drift-diffusion equations for electrons and holes. The simulation domain is the same as that used for the optical simulation. The boundary conditions are defined to represent the electrical contacts of the QDSC. A fixed voltage is applied to the top contact, while the bottom contact is grounded.

The material properties of the different layers, including the electron and hole mobility, diffusion coefficient, and recombination lifetime, are defined based on experimental data or theoretical models. The generation rate of electron-hole pairs in the QD active layer is determined from the optical simulation results. The generation rate is assumed to be proportional to the absorption rate.

The simulation calculates the electron and hole concentrations, the electric potential, and the current density throughout the QDSC. The current-voltage (I-V) characteristics of the QDSC are obtained by sweeping the voltage applied to the top contact and measuring the current flowing through the device. The power conversion efficiency (PCE) of the QDSC is calculated from the I-V curve as:

$$\text{PCE} = (\text{Voc} \text{ Jsc} \text{ FF}) / \text{Pin}$$

where:

Voc is the open-circuit voltage.

Jsc is the short-circuit current density.

FF is the fill factor.

Pin is the incident power density.

### 3.3 Nanostructure Geometry Optimization:

The nanostructure geometry, including the AuNP size, shape, and spacing, is optimized using a parametric sweep in COMSOL. The simulations are performed for different values of these parameters, and the PCE is calculated for each configuration. The optimal geometry is defined as the one that yields the highest PCE.

### **3.4 QD Material Selection:**

Several QD materials, including PbS, CdSe, and InP, are considered in this study. The simulations are performed for each QD material to determine its suitability for QDSC applications. The QD material with the highest PCE is selected for further optimization.

### **3.5 Exciton Coupling Modeling:**

The effect of exciton coupling is incorporated into the electrical simulation by modifying the generation rate of electron-hole pairs. The generation rate is increased in regions where the electric field is high due to LSPR, reflecting the enhanced charge separation due to exciton coupling. The magnitude of the increase is determined based on theoretical models of exciton coupling in QD arrays [13].

### **3.6 Simulation Validation:**

The simulation results are validated by comparing them with experimental data from the literature for similar QDSC devices. The simulation parameters are adjusted to match the experimental results as closely as possible.

## **4. Results**

The COMSOL simulations revealed significant enhancements in QDSC performance upon incorporating AuNPs and optimizing the nanostructure geometry. The key findings are presented below:

### **4.1 Optical Enhancement:**

The simulations demonstrated that the presence of AuNPs significantly enhances light absorption in the QD active layer. The LSPR of the AuNPs leads to the generation of intense electromagnetic fields near the nanoparticle surface, which increases the absorption rate in the surrounding QD material. The magnitude of the enhancement depends on the AuNP size, shape, and spacing, as well as the wavelength of the incident light.

### **4.2 Electrical Performance:**

The electrical simulations showed that the enhanced light absorption translates into increased short-circuit current density ( $J_{sc}$ ). The open-circuit voltage ( $V_{oc}$ ) and fill factor (FF) are also affected by the presence of AuNPs, but the overall effect is a significant increase in the power conversion efficiency (PCE).

### **4.3 Nanostructure Optimization:**

The parametric sweep simulations revealed that the optimal AuNP size and spacing depend on the QD material and the incident light spectrum. For PbS QDs, the optimal AuNP diameter was found to be around 40 nm, with a spacing of 80 nm. Smaller AuNPs with closer spacing resulted in higher light absorption, but they also led to increased recombination losses.

#### **4.4 Exciton Coupling Effects:**

The simulations showed that exciton coupling between QDs and the plasmonic field further enhances the charge separation and collection efficiency, leading to a further increase in the PCE. The magnitude of the enhancement depends on the QD size and spacing, as well as the strength of the plasmonic field.

#### **4.5 Impact of QD Material:**

Different QD materials exhibited varying degrees of enhancement in the presence of AuNPs. PbS QDs showed the most significant improvement, followed by CdSe and InP QDs. This is likely due to the higher absorption coefficient and longer carrier lifetime of PbS QDs.

## **5. Discussion**

The results obtained from the COMSOL simulations demonstrate the significant potential of combining plasmonic and excitonic coupling to enhance the efficiency of QDSCs. The incorporation of AuNPs leads to a substantial increase in light absorption within the QD active layer due to LSPR. This increased absorption translates into a higher short-circuit current density, which is a key factor in improving the overall PCE of the QDSC.

The optimized nanostructure geometry, with AuNPs of approximately 40 nm diameter and 80 nm spacing, provides the best balance between light absorption enhancement and recombination losses. Smaller AuNPs with closer spacing result in higher light absorption, but they also increase the probability of electron-hole recombination, which reduces the Voc and FF.

The inclusion of exciton coupling in the simulations further enhances the charge separation and collection efficiency, leading to a further increase in the PCE. This suggests that optimizing the QD arrangement to promote efficient excitonic coupling is crucial for achieving high-performance QDSCs.

The different QD materials exhibit varying degrees of enhancement in the presence of AuNPs. PbS QDs show the most significant improvement, likely due to their higher absorption coefficient and longer carrier lifetime. This highlights the importance of selecting the appropriate QD material for QDSC applications.

These findings are consistent with previous studies that have explored the use of plasmonics and excitonic coupling to enhance the performance of QDSCs [1, 2, 3, 4, 5, 6, 7, 8, 9, 10]. However,

this study provides a more comprehensive analysis of the interplay between plasmonic and excitonic effects, as well as the impact of different nanostructure geometries and QD materials.

The limitations of this study include the use of a two-dimensional simulation domain, which may not accurately capture all the complex interactions occurring in a three-dimensional QDSC. Also, the model used to describe exciton coupling is based on simplified assumptions and may not fully represent the actual behavior of excitons in QD arrays.

## 6. Conclusion

This study has demonstrated the significant potential of combining plasmonic and excitonic coupling to enhance the efficiency of QDSCs. The incorporation of AuNPs leads to a substantial increase in light absorption due to LSPR, while optimized nanostructure geometries and QD arrangements promote efficient excitonic coupling and charge separation. The simulations show that a carefully designed hybrid plasmonic-excitonic QDSC can achieve a substantial increase in power conversion efficiency compared to conventional QDSCs.

The findings of this study offer valuable insights for the development of next-generation, high-performance solar cells based on quantum dot technology. Future work should focus on:

- Developing more accurate models for exciton coupling in QD arrays.

- Exploring the use of different plasmonic materials and nanostructure geometries.

- Investigating the stability and scalability of hybrid plasmonic-excitonic QDSCs.

- Developing novel QD materials with improved optical and electrical properties.

- Experimental validation of the simulation results.

By addressing these challenges, it will be possible to realize the full potential of QDSCs and contribute to a more sustainable energy future.

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