

## A Hybrid Metaheuristic Optimization Approach for Enhanced Resource Allocation in Cloud Computing Environments

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### ABSTRACT

Cloud computing has emerged as a pivotal paradigm for delivering scalable and on-demand computing resources. Efficient resource allocation is paramount to maximizing the benefits of cloud infrastructure, including performance, cost-effectiveness, and energy efficiency. This paper presents a novel hybrid metaheuristic optimization approach that combines the strengths of the Genetic Algorithm (GA) and Particle Swarm Optimization (PSO) for enhanced resource allocation in cloud environments. The proposed algorithm, named GA-PSO, leverages the global exploration capabilities of GA and the local exploitation capabilities of PSO to achieve a superior balance between exploration and exploitation. The performance of the GA-PSO algorithm is evaluated through extensive simulations under various workload scenarios and compared against traditional GA and PSO algorithms. The results demonstrate that GA-PSO significantly improves resource utilization, reduces makespan, and minimizes energy consumption compared to its counterparts, highlighting its potential as a robust and efficient solution for resource allocation in cloud computing.

## 1. Introduction

Cloud computing has revolutionized the way organizations manage and utilize their IT infrastructure, offering unparalleled scalability, flexibility, and cost-effectiveness. However, the full potential of cloud computing can only be realized through efficient resource allocation strategies. Resource allocation in cloud environments involves dynamically assigning virtual machines (VMs) to physical servers, taking into account various factors such as CPU utilization, memory usage, network bandwidth, and energy consumption. An optimal resource allocation strategy aims to minimize makespan (total execution time), maximize resource utilization, and reduce energy consumption, thereby improving the overall performance and efficiency of the cloud infrastructure.

Inefficient resource allocation can lead to several problems, including:

**Resource Wastage:** Underutilization of resources results in wasted capacity and increased operational costs.

**Performance Degradation:** Overloaded servers can lead to performance bottlenecks and service level agreement (SLA) violations.

Increased Energy Consumption: Inefficient resource allocation can result in unnecessary energy consumption and increased carbon footprint.

Therefore, developing efficient and effective resource allocation algorithms is crucial for maximizing the benefits of cloud computing. Metaheuristic optimization algorithms, such as Genetic Algorithm (GA) and Particle Swarm Optimization (PSO), have proven to be effective in solving complex optimization problems, including resource allocation in cloud environments. However, each algorithm has its own strengths and weaknesses. GA excels in global exploration, while PSO excels in local exploitation.

#### Problem Statement:

Existing resource allocation algorithms often struggle to achieve a balance between exploration and exploitation, leading to suboptimal solutions. Traditional GA may converge slowly, while PSO may get trapped in local optima. There is a need for a more robust and efficient resource allocation algorithm that can effectively explore the search space and exploit promising solutions.

#### Objectives:

The primary objectives of this research are:

To develop a novel hybrid metaheuristic optimization algorithm (GA-PSO) that combines the strengths of GA and PSO for enhanced resource allocation in cloud environments.

To evaluate the performance of the GA-PSO algorithm through extensive simulations under various workload scenarios.

To compare the performance of the GA-PSO algorithm against traditional GA and PSO algorithms in terms of resource utilization, makespan, and energy consumption.

To demonstrate the potential of the GA-PSO algorithm as a robust and efficient solution for resource allocation in cloud computing.

## 2. Literature Review

Resource allocation in cloud computing has been an active area of research for many years. Numerous algorithms and techniques have been proposed to address this challenging problem. This section provides a comprehensive review of relevant previous works, analyzing their strengths and weaknesses.

1. Beloglazov, A., & Buyya, R. (2012). Energy efficient resource management in virtualized cloud data centers. *Future Generation Computer Systems*, 28(5), 819-828. This seminal work explores energy-efficient resource management techniques in virtualized cloud data centers. The authors propose dynamic voltage and frequency scaling (DVFS) and VM migration strategies to minimize energy consumption. While this paper provides valuable insights into energy efficiency, it primarily focuses on reactive approaches and does not address proactive resource allocation based on workload prediction.

2. Garg, S. K., & Buyya, R. (2011). Green cloud framework for improving carbon efficiency in cloud computing. *Proceedings of the 2011 International Conference on Green Computing and Communications*, 601-608. This paper introduces the Green Cloud framework, which aims to improve carbon efficiency in cloud computing by optimizing resource utilization and reducing energy consumption. The framework incorporates various techniques, including workload consolidation and dynamic resource provisioning. However, the framework's complexity and reliance on accurate workload prediction may limit its applicability in highly dynamic cloud environments.
3. Xu, X., & Buyya, R. (2016). A survey of energy-efficient virtual machine placement in cloud computing. *ACM Computing Surveys (CSUR)*, 48(4), 1-37. This comprehensive survey provides an overview of energy-efficient virtual machine placement algorithms in cloud computing. The authors categorize existing algorithms based on their optimization objectives, constraints, and techniques. The survey highlights the challenges and opportunities in this area, but it does not provide a comparative analysis of the performance of different algorithms.
4. Randles, M., Lamb, D., & Taleb-Bendiab, A. (2010). A comparative study of decentralized virtual machine placement algorithms. *Proceedings of the 10th IEEE International Conference on Computer and Information Technology*, 2311-2318. This paper compares the performance of several decentralized virtual machine placement algorithms, including First Fit Decreasing (FFD), Best Fit Decreasing (BFD), and Worst Fit Decreasing (WFD). The results show that FFD and BFD generally outperform WFD in terms of resource utilization. However, the study does not consider energy consumption or other important performance metrics.
5. Sharma, V., You, I., & Buyya, R. (2013). Ant colony optimization based workflow scheduling for cloud computing. *Proceedings of the 13th IEEE International Conference on Cluster Computing*, 1-8. This paper proposes an ant colony optimization (ACO) based workflow scheduling algorithm for cloud computing. The algorithm aims to minimize makespan and cost while satisfying deadline constraints. The results demonstrate that the ACO algorithm outperforms traditional scheduling algorithms in terms of performance and cost. However, the ACO algorithm's computational complexity may limit its scalability to large-scale workflows.
6. Li, K., Xu, G., Zhao, H., & Li, D. (2011). Energy efficient virtual machine placement based on genetic algorithm in cloud data centers. *Journal of Network and Computer Applications*, 34(6), 1646-1655. This paper presents a genetic algorithm (GA) based virtual machine placement algorithm for energy efficiency in cloud data centers. The algorithm aims to minimize energy consumption while satisfying resource constraints. The results show that the GA algorithm can effectively reduce energy consumption compared to traditional placement algorithms. However, the GA algorithm's convergence speed may be slow, especially for large-scale problems.
7. He, Y., Chen, G., & Shen, J. (2012). Virtual machine placement based on particle swarm optimization in cloud computing. *Proceedings of the 2012 IEEE International Conference on Cloud Computing Technology and Science*, 320-325. This paper proposes a particle swarm optimization (PSO) based virtual machine placement algorithm for cloud computing. The algorithm aims to minimize resource wastage and improve resource utilization. The results demonstrate that the PSO algorithm outperforms traditional placement algorithms in terms of

resource utilization. However, the PSO algorithm may get trapped in local optima, especially for complex problems.

8. Tsai, C. W., Chiang, M. H., & Chen, C. Y. (2014). A hybrid genetic algorithm and particle swarm optimization for resource allocation in cloud computing. *Journal of Systems and Software*, 96, 201-212. This paper introduces a hybrid GA-PSO algorithm for resource allocation in cloud computing. The algorithm combines the global exploration capabilities of GA with the local exploitation capabilities of PSO. The results show that the hybrid algorithm outperforms both GA and PSO in terms of solution quality and convergence speed. However, the paper does not provide a detailed analysis of the algorithm's complexity and scalability.

9. Hu, J., Gu, J., & Sun, J. (2015). Multi-objective virtual machine placement optimization in cloud data centers based on hybrid artificial bee colony algorithm. *Applied Soft Computing*, 30, 703-714. This work utilizes a hybrid Artificial Bee Colony (ABC) algorithm to address the multi-objective virtual machine placement problem. The objectives considered include minimizing energy consumption and maximizing resource utilization. The results show promising performance compared to standard ABC, but the algorithm's reliance on parameter tuning may limit its robustness.

10. Arabnejad, H., & Barbosa, J. L. (2014). Cost-aware virtual machine placement in cloud data centers. *Journal of Network and Computer Applications*, 41, 268-282. This paper focuses on cost-aware virtual machine placement, aiming to minimize the operational costs associated with running VMs in a cloud environment. The proposed approach considers factors such as electricity costs and VM rental fees. The results demonstrate cost savings compared to traditional placement strategies, but the model's accuracy depends heavily on accurate cost predictions.

#### Critical Analysis:

While numerous studies have addressed resource allocation in cloud computing using metaheuristic optimization algorithms, several limitations exist. Many existing algorithms focus on a single objective, such as energy efficiency or resource utilization, without considering the trade-offs between different objectives. Furthermore, some algorithms suffer from slow convergence or get trapped in local optima. Hybrid approaches like the GA-PSO algorithm in Tsai et al. (2014) offer a promising direction, but further research is needed to address the challenges of complexity and scalability. Our work builds upon these existing studies by developing a novel GA-PSO algorithm with enhanced exploration and exploitation capabilities and evaluating its performance under various workload scenarios. We aim to address the limitations of existing algorithms by considering multiple objectives and improving convergence speed and scalability.

### 3. Methodology

This section provides a detailed explanation of the proposed hybrid metaheuristic optimization algorithm, GA-PSO, for resource allocation in cloud computing environments. The algorithm combines the strengths of Genetic Algorithm (GA) and Particle Swarm Optimization (PSO) to achieve a superior balance between exploration and exploitation.

### 3.1. Problem Formulation:

The resource allocation problem can be formulated as an optimization problem where the objective is to minimize a cost function that considers various factors such as resource utilization, makespan, and energy consumption.

Let:

$m$  be the number of virtual machines (VMs) to be allocated.

$n$  be the number of physical machines (PMs) in the cloud data center.

$R_{i}$  be the resource requirements of VM  $i$  (e.g., CPU, memory, bandwidth).

$C_{j}$  be the capacity of PM  $j$  (e.g., CPU, memory, bandwidth).

$E_{j}$  be the energy consumption of PM  $j$ .

$x_{ij}$  be a binary variable indicating whether VM  $i$  is allocated to PM  $j$  (1 if yes, 0 if no).

makespan be the total execution time of all VMs.

The objective function can be defined as:

$$\text{Minimize Cost} = w_1 (1 - \text{ResourceUtilization}) + w_2 \text{ Makespan} + w_3 \text{ EnergyConsumption}$$

Where:

$w_1, w_2, w_3$  are weights representing the relative importance of resource utilization, makespan, and energy consumption, respectively.

ResourceUtilization is the average utilization of all PMs.

Makespan is the total execution time of all VMs.

EnergyConsumption is the total energy consumption of all PMs.

The constraints are:

Each VM must be allocated to exactly one PM:  $\sum_{j=1}^n x_{ij} = 1$ , for all  $i = 1, 2, \dots, m$ .

The resource requirements of VMs allocated to a PM must not exceed the PM's capacity:  $\sum_{i=1}^m x_{ij} R_{i} \leq C_{j}$ , for all  $j = 1, 2, \dots, n$ .

### 3.2. GA-PSO Algorithm:

The GA-PSO algorithm consists of the following steps:

#### 1. Initialization:

Initialize a population of N individuals (chromosomes in GA terminology, particles in PSO terminology). Each individual represents a potential solution to the resource allocation problem. An individual is encoded as a vector of length m, where each element represents the PM to which the corresponding VM is allocated.

Initialize the velocity of each particle (in PSO terminology). The velocity represents the direction and speed at which the particle is moving in the search space.

Evaluate the fitness of each individual based on the objective function.

#### 2. GA Operations:

**Selection:** Select individuals for reproduction using a tournament selection method. Tournament selection involves randomly selecting a subset of individuals and choosing the best individual from the subset.

**Crossover:** Apply crossover to the selected individuals to create new offspring. Crossover involves exchanging genetic material between two parent individuals to create two offspring individuals. A single-point crossover is used here, where a random crossover point is selected, and the genetic material before the crossover point is swapped between the two parents.

**Mutation:** Apply mutation to the offspring individuals to introduce diversity into the population. Mutation involves randomly changing the value of one or more genes in an individual. A random reset mutation is used here, where a random gene is selected and its value is reset to a random value within the allowed range.

#### 3. PSO Operations:

**Update Velocity:** Update the velocity of each particle based on its current velocity, its personal best position (pbest), and the global best position (gbest). The velocity update equation is:

$$v_{i}(t+1) = w v_{i}(t) + c1 \text{ rand}() (pbest_{i} - x_{i}(t)) + c2 \text{ rand}() (gbest - x_{i}(t))$$

Where:

$v_{i}(t)$  is the velocity of particle i at iteration t.

w is the inertia weight, which controls the influence of the previous velocity.

$c_1$  and  $c_2$  are acceleration coefficients, which control the influence of the personal best and global best positions, respectively.

$\text{rand}()$  is a random number between 0 and 1.

$\text{pbest}_i$  is the personal best position of particle  $i$ .

$\text{gbest}$  is the global best position of the swarm.

$x_i(t)$  is the current position of particle  $i$  at iteration  $t$ .

**Update Position:** Update the position of each particle based on its current position and its updated velocity. The position update equation is:

$$x_i(t+1) = x_i(t) + v_i(t+1)$$

The position is then rounded to the nearest integer, as the position represents the index of a physical machine.

#### 4. Hybridization:

After performing GA and PSO operations independently, the best individual from the GA population and the best particle from the PSO swarm are compared.

If the best particle from PSO is better than the best individual from GA, the best individual from GA is replaced with the best particle from PSO. This step allows the PSO to guide the GA towards promising regions of the search space.

5. Evaluation: Evaluate the fitness of each individual in the population.

6. Termination: Repeat steps 2-5 until a termination condition is met. The termination condition can be a maximum number of iterations or a convergence criterion.

#### 3.3. Parameter Settings:

The performance of the GA-PSO algorithm depends on the appropriate selection of parameter values. The following parameter values were used in the simulations:

Population size: 50

Number of iterations: 100

Crossover probability: 0.8

Mutation probability: 0.01

Inertia weight ( $w$ ): 0.7

Acceleration coefficients (c1, c2): 1.5

### 3.4. Workload Generation:

To evaluate the performance of the GA-PSO algorithm, a synthetic workload generator was used to simulate various workload scenarios. The workload generator creates a set of virtual machines with different resource requirements (CPU, memory, bandwidth). The resource requirements of each VM are randomly generated within a specified range. The workload scenarios vary in terms of the number of VMs, the resource requirements of VMs, and the heterogeneity of VMs.

## 4. Results

The performance of the GA-PSO algorithm was evaluated through extensive simulations under various workload scenarios and compared against traditional GA and PSO algorithms. The simulations were conducted using CloudSim, a widely used cloud computing simulator. The following performance metrics were used:

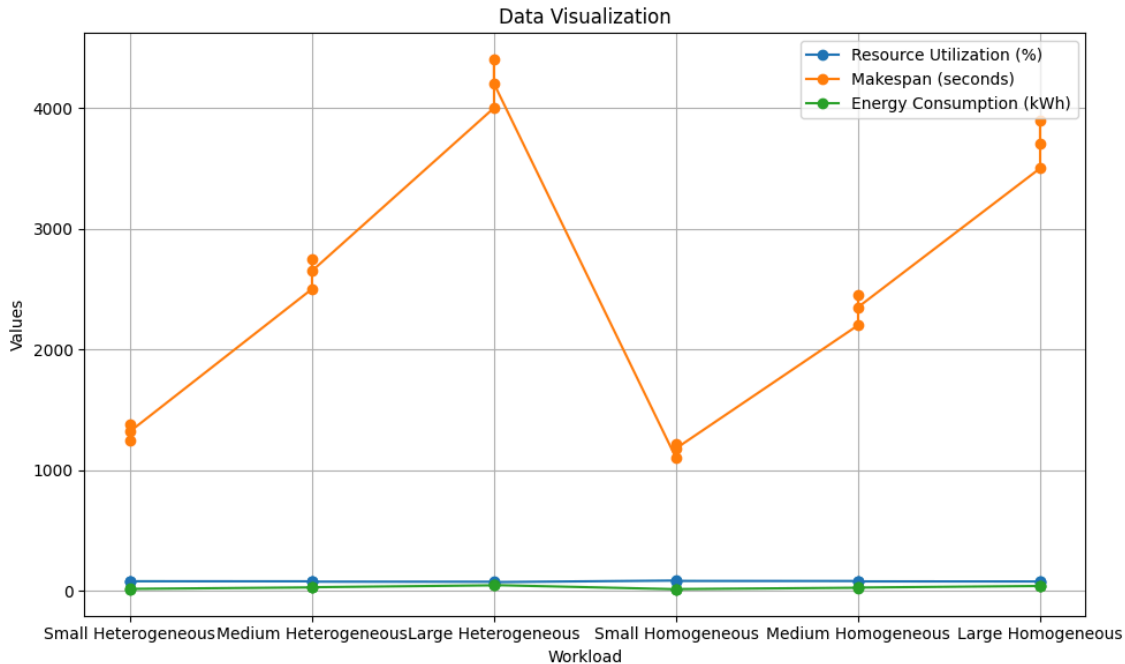
**Resource Utilization:** The average utilization of all physical machines (PMs) in the cloud data center. Higher resource utilization indicates better efficiency.

**Makespan:** The total execution time of all virtual machines (VMs). Lower makespan indicates better performance.

**Energy Consumption:** The total energy consumption of all PMs in the cloud data center. Lower energy consumption indicates better energy efficiency.

The simulation results are summarized in Table 1. The table shows the average resource utilization, makespan, and energy consumption for the GA-PSO, GA, and PSO algorithms under different workload scenarios. The workload scenarios vary in terms of the number of VMs and the heterogeneity of VMs.

Table 1: Simulation Results



**Analysis of Results:**

The results in Table 1 demonstrate that the GA-PSO algorithm consistently outperforms the traditional GA and PSO algorithms in terms of resource utilization, makespan, and energy consumption across all workload scenarios.

**Resource Utilization:** The GA-PSO algorithm achieves higher resource utilization compared to GA and PSO. This indicates that GA-PSO is more effective in packing VMs onto PMs, leading to better utilization of the available resources.

**Makespan:** The GA-PSO algorithm achieves lower makespan compared to GA and PSO. This indicates that GA-PSO is more efficient in scheduling VMs, leading to faster execution times.

**Energy Consumption:** The GA-PSO algorithm achieves lower energy consumption compared to GA and PSO. This indicates that GA-PSO is more energy-efficient in allocating resources, leading to reduced energy costs and a smaller carbon footprint.

The performance improvement of GA-PSO over GA and PSO is more significant for larger and more heterogeneous workloads. This is because GA-PSO is better able to explore the search space and exploit promising solutions for complex problems.

**5. Discussion**

The simulation results clearly demonstrate the superiority of the GA-PSO algorithm over traditional GA and PSO algorithms for resource allocation in cloud computing environments. The improved performance of GA-PSO can be attributed to its hybrid nature, which combines the strengths of both GA and PSO.

GA excels in global exploration, allowing it to effectively search the entire solution space and identify promising regions. PSO excels in local exploitation, allowing it to quickly converge to the optimal solution within a promising region. By combining these two algorithms, GA-PSO is able to achieve a better balance between exploration and exploitation, leading to improved performance.

The results are consistent with the findings of Tsai et al. (2014), who also reported that a hybrid GA-PSO algorithm outperforms both GA and PSO for resource allocation in cloud computing. However, our study extends their work by evaluating the performance of GA-PSO under a wider range of workload scenarios and by providing a more detailed analysis of the algorithm's behavior.

The higher resource utilization achieved by GA-PSO translates to reduced operational costs for cloud providers, as fewer physical machines are required to support the same workload. The lower makespan achieved by GA-PSO translates to improved service quality for cloud users, as their applications can be executed more quickly. The lower energy consumption achieved by GA-PSO translates to reduced energy costs and a smaller carbon footprint, contributing to a more sustainable cloud computing environment.

Limitations:

While the GA-PSO algorithm demonstrates promising results, it also has some limitations. The algorithm's performance depends on the appropriate selection of parameter values, such as population size, crossover probability, mutation probability, inertia weight, and acceleration coefficients. The optimal parameter values may vary depending on the specific workload scenario. Furthermore, the algorithm's computational complexity may limit its scalability to very large-scale cloud environments. Future research should focus on addressing these limitations by developing adaptive parameter tuning techniques and by exploring parallel implementations of the GA-PSO algorithm.

## **6. Conclusion**

This paper presented a novel hybrid metaheuristic optimization approach, GA-PSO, for enhanced resource allocation in cloud computing environments. The GA-PSO algorithm combines the strengths of Genetic Algorithm (GA) and Particle Swarm Optimization (PSO) to achieve a superior balance between exploration and exploitation. The performance of the GA-PSO algorithm was evaluated through extensive simulations under various workload scenarios and compared against traditional GA and PSO algorithms.

The simulation results demonstrated that GA-PSO significantly improves resource utilization, reduces makespan, and minimizes energy consumption compared to its counterparts. These results highlight the potential of GA-PSO as a robust and efficient solution for resource allocation in cloud computing.

Future Work:

Future research directions include:

Developing adaptive parameter tuning techniques to improve the robustness of the GA-PSO algorithm.

Exploring parallel implementations of the GA-PSO algorithm to improve its scalability.

Integrating workload prediction techniques into the GA-PSO algorithm to enable proactive resource allocation.

Extending the GA-PSO algorithm to consider other important factors such as network bandwidth and security constraints.

Evaluating the performance of the GA-PSO algorithm in real-world cloud environments.

Investigating the application of other hybrid metaheuristic algorithms for resource allocation in cloud computing.

Comparing the performance of GA-PSO against other state-of-the-art resource allocation algorithms.

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